

**RESUME OF
RICHARD R. SWARB, P.C.
ATTORNEY AT LAW
REGISTERED INVESTMENT ADVISOR**

ADDRESS: 4750 Little Road
Arlington, Texas 76017

PHONE: Office (817) 563-5567

LEGAL EXPERIENCE:

Real Estate: Prepared documentation for the acquisition and disposition of various interests in real property, commercial and residential, for ownership, lien, and lease purposes; prepared title opinions, sales contracts and closings, commercial leases, title curative documentation and actions, foreclosure and other real estate actions.

Commercial Law: Prepared and documented bulk sales of going concerns; prepared, perfected and foreclosed various types of security interests in real and personal property and goods; rendered general corporation advice for a grocery wholesaler with one billion dollars in yearly sales; advised in house Federal Credit Union and Small Business Investment Corporation pertaining to land, equipment and inventory loans. Reported to the President of Affiliated Food Stores, Inc. and originated the legal department for the company.

Estate Planning and General Practice: Prepared estate plans including simple, credit shelter and life insurance trusts; personal annuities; wills; life insurance trusts; prepared and completed probate actions and maintained the general practice of law. Registered Investment Advisor and Branch Office for First Independent Financial Services, Inc.; offering investment advice, mutual funds, annuities, insurance, financial planning, retirement planning. Presented seminars on estate and financial planning.

WORK EXPERIENCE:

1990 to Present: Engaged in private practice of law in solo practice, Arlington, Texas; Branch Office First Independent Financial Services Inc., Registered Investment Advisor
1988 to 1990: Corporate Counsel, Affiliated Food Stores, Inc., Keller, Texas.
1986-1988: Associate, Robert E. Parker and Associates, Tulsa, Oklahoma.
1985-1986: Partner, Jackson and Swarb, Attorneys, Oklahoma City, Oklahoma.
1983-1985: Associate, Welch & Argo, Norman, Oklahoma.
1982: Judicial Law Clerk, Court of Criminal Appeals, Oklahoma City, Oklahoma
1981: Court Constable, Municipal Court of Norman, Oklahoma

PROFESSIONAL ASSOCIATIONS:

State Bar of Texas since 1988
Registered Investment Advisor, First Independent Financial Services, Inc.

Licensed Life and Health Insurance Agent, Texas State Board of Insurance
Associated with Service Corp. of Retired Executives, Fort Worth Chapter, trained Small
Business Counselor, Regular Presenter in Chapter Seminars
Member State Bar of Texas Trusts, Real Estate and Probate Section

EDUCATION:

1980 to 1982 J.D. Degree, University of Oklahoma College of Law, Norman,
Oklahoma;

1976 to 1980, B.S. Business Management, Oklahoma Christian University

REFERENCES: Available upon request.